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Substitute for form 1449B/PTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**

Date Submitted: April 21, 2003

Complete if Known

Application Number	10/065,596
Filing Date	11/01/2002
First Named Inventor	Zhihong YE
Group Art Unit	2837
Examiner Name	Unassigned
Attorney Docket Number	040849-0209

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 Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
[Signature]	A1	3,775,663		Turnbull	11-27-1973	
	A2	4,354,223		Turnbull	10-12-1982	
	A3	4,507,724		Glenon	03-26-1985	
	A4	4,719,557		Forstbauer et al.	01-12-1988	
	A5	4,847,745		Shekhawat et al.	06-11-1989	
	A6	4,912,618		Krinickas, Jr.	03-27-1990	
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	A8	5,148,362		Braun et al.	09-15-1992	
	A9	5,903,116		Geis et al.	05-11-1999	
	A10	6,020,713		Geis et al.	02-01-2000	
	A11	6,031,294		Geis et al.	02-29-2000	
	A12	6,049,195		Geis et al.	04-11-2000	
	A13	6,093,975		Peticolas	07-25-2000	
	A14	6,154,378		Peterson et al.	11-28-2000	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
[Signature]	A15	WO	99/57800		Aktiebolaget LM Ericsson	11-11-1999		
	A16	EP	0 998 005	A1	Capstone Turbine Corporation	05-03-2000		
	A17	EP	0 963 035	A3	Capstone Turbine Corporation	08-16-2000		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶

Examiner
Signature

Julio Gonzalez

Date
Considered

08/31/04

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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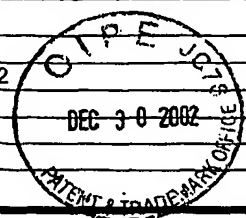
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Date Submitted: December 20, 2002

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Complete if Known

Application Number	10/065,596
Filing Date	November 1, 2002
First Named Inventor	Zhihong YE
Group Art Unit	2837
Examiner Name	Unassigned
Attorney Docket Number	040849-0209



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		Number	Kind Code ² (if known)			
[Signature]	A1	5,008,801		Glennon	April 16, 1991	
	A2	5,691,892		Meyer et al.	November 25, 1997	
	A3	5,808,882		Mochikawa	September 15, 1998	
	A4	5,894,414		Jiang	April 13, 1999	
	A5	6,038,155		Pelly	March 14, 2000	
	A6	6,212,084		Turner	April 3, 2001	
	A7	6,256,213		Illingworth	July 3, 2001	
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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
[Signature]	A10	WO	99/52193		Gilbreth et al.	October 14, 1999		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
[Signature]	A11	J. Herminjard, "THREE-PHASE UNITY POWER FACTOR AC/DC CONVERTER (PFC) WITH DUAL ISOLATED DC/DC CONVERTER FOR A BATTERY CHARGER", EPE 1999, Lausanne, pp. 1-10	
	A12	Gregory Ivensky et al., "A NOVEL THREE-PHASE RECTIFIER WITH REDUCED THD", Power Electronics Laboratory Department of Electrical and Computer Engineering, Ben-Gurion University of the Negev, P.O. Box 653, Beer-Sheva 84105, Israel, 6 pgs.	
	A13	Johann Miniböck et al., "A NOVEL 10Kw 2-U THREE-PHASE UNITY POWER FACTOR RECTIFIER MODULE", 11 th International Symposium on Power Electronics - Ee 2001, Novi Sad, Yugoslavia, Oct. 31-Nov. 2, 2001, pp. 1-5	
	A14	Chongming Qiao et al., "THREE-PHASE UNITY-POWER-FACTOR VIENNA RECTIFIER WITH UNIFIED CONSTANT-FREQUENCY INTEGRATION CONTROL", Department of Electrical and Computer Engineering, University of California, Irvine, California 92697, pp. 1-6	

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⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 If possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO-1449 (REV. 7-80) (Title Amended 3/83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. RD-29357-1		SERIAL NO. 10/065,596	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT-- <div style="text-align: center; margin-top: 10px;"> <u>LIST OF ITEMS</u> </div> <div style="margin-top: 10px;"> <i>(Use several sheets if necessary)</i> </div>				Applicant Z. Ye et al.			
				Filing Date 11/01/02		Group 2837	

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U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
JH	AA 6 0 4 9 1 9 5	08/11/00	Geis et al.	290	52				
JH	AB 6 0 9 3 9 7 5	07/25/00	Peticoles	322	46				
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	AF								
	AG								
	AH								
	AI								
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FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
							YES	No	
JH	AL	0 5 2 9 2 7 5 9	05/11/93	Japan (Shinko)					
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	AN								
	AO								
	AP								

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)	
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EXAMINER Julio Gonzalez	DATE CONSIDERED 08/31/04
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